

Introductory sub-table (I)(a)

|  |                                      |  |   |
|--|--------------------------------------|--|---|
| <b>Name of the Company: PHOENIX LAMPS LIMITED</b>  |                                      |  |   |
| <b>Scrip Code, Name of the scrip, class of security: 517296, PHOENIXLL, EQUITY</b>                           |                                      |  |   |
| <b>Quarter ended: MARCH 31, 2014</b>   |                                      |  |   |
| <b>Partly paid-up shares:-</b>   |                                      |  |   |
|  | <b>No. of partly paid-up shares</b>  | <b>As a % of total no. of partly paid-up shares</b>              | <b>As a % of total no. of shares of the company</b>   |
| Held by promoter/promoter group  |                                      | 0  | 0   |
| Held by public   |                                      | 0  | 0   |
| <b>Total</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Outstanding convertible securities:-</b>  |                                      |  |   |
|  | <b>No. of outstanding securities</b> | <b>As a % of total no. of outstanding convertible securities</b> | <b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b> |
| Held by promoter/promoter group  |                                      | 0  | 0   |
| Held by public   |                                      | 0  | 0   |
| <b>Total</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Warrants:-</b>  |                                      |  |   |
|  | <b>No. of warrants</b>               | <b>As a % of total no. of warrants</b>                           | <b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>                   |
| Held by promoter/promoter group  |                                      | 0  | 0   |
| Held by public   |                                      | 0  | 0   |
| <b>Total</b>   | <b>0</b>                             | <b>0</b>   | <b>0</b>  |
| <b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b> |                                      | <b>28019300</b>  |   |

**For PHOENIX LAMPS LIMITED**

  
**COMPANY SECRETARY**

**Statement Showing Shareholding Pattern**

| Category code | Category of Shareholder  | Number of Shareholders | Table (I)(a)           |  | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                        |
|---------------|--|------------------------|------------------------|--|--|----------------------------|--|------------------------|
|               |  |                        | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) <sup>1</sup>                        | As a percentage of (A+B+C) | Number of shares                       | As a percentage        |
|               |  |                        |                        |  |  |                            |  |                        |
| (I)           | (II)   | (III)                  | (IV)                   | (V)  | (VI)   | (VII)                      | (VIII)                                 | (IX) = (VIII)/(IV)*100 |
| <b>(A)</b>    | <b>Shareholding of Promoter and Promoter Group<sup>2</sup></b> |                        |                        |  |  |                            |  |                        |
| <b>1</b>      | <b>Indian</b>  |                        |                        |  |  |                            |  |                        |
| (a)           | Individuals/ Hindu Undivided Family                            |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (b)           | Central Government/ State Government(s)                        |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (c)           | Bodies Corporate   |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (d)           | Financial Institutions/ Banks                                  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (e)           | Any Others(Specify)  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (e-i)         |  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| (e-ii)        |  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
|               | <b>Sub Total(A)(1)</b>   | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>  | <b>0.00</b>                | <b>0</b>                               | <b>0.00</b>            |
| <b>2</b>      | <b>Foreign</b>   |                        |                        |  |  |                            |  |                        |
| a             | Individuals (Non-Residents Individuals/ Foreign Individuals)   |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| b             | Bodies Corporate   | 2                      | 19882587               | 19882587                                     | 70.96  | 70.96                      |  | 0.00                   |
| c             | Institutions   |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| d             | Qualified Foreign Investor                                     |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| e             | Any Others(Specify)  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| e-i           |  |                        |                        |  | 0.00   | 0.00                       |  | 0.00                   |
| e-ii          |  |                        |                        |  |  |                            |  |                        |
|               | <b>Sub Total(A)(2)</b>   | <b>2</b>               | <b>19882587</b>        | <b>19882587</b>                              | <b>70.96</b>   | <b>70.96</b>               | <b>0</b>                               | <b>0.00</b>            |
|               | <b>Total Shareholding of Promoter</b>                          | <b>2</b>               | <b>19882587</b>        | <b>19882587</b>                              | <b>70.96</b>   | <b>70.96</b>               | <b>0</b>                               | <b>0.00</b>            |
| <b>(B)</b>    | <b>Public shareholding</b>                                     |                        |                        |  |  |                            |  |                        |
| <b>1</b>      | <b>Institutions</b>  |                        |                        |  |  |                            |  |                        |
| (a)           | Mutual Funds/ UTI  | 7                      | 4200                   | 0  | 0.01   | 0.01                       |  |                        |
| (b)           | Financial Institutions/ Banks                                  | 2                      | 700                    | 700  | 0.00   | 0.00                       |  |                        |
| (c)           | Central Government/ State Government(s)                        |                        |                        |  | 0.00   | 0.00                       |  |                        |
| (d)           | Venture Capital Funds  |                        |                        |  | 0.00   | 0.00                       |  |                        |
| (e)           | Insurance Companies  |                        |                        |  | 0.00   | 0.00                       |  |                        |
| (f)           | Foreign Institutional Investors                                | 2                      | 907190                 | 907190                                       | 3.24   | 3.24                       |  |                        |
| (g)           | Foreign Venture Capital Investors                              |                        |                        |  | 0.00   | 0.00                       |  |                        |
| (h)           | Qualified Foreign Investor                                     |                        |                        |  | 0.00   | 0.00                       |  |                        |
| (i)           | Any Other (specify)  |                        |                        |  | 0.00   | 0.00                       |  |                        |

For PHOENIX LAMPS LIMITED

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|            |   |              |                 |                 |               |               |              |             |
|------------|---|--------------|-----------------|-----------------|---------------|---------------|--------------|-------------|
| (i-ii)     |   |              |                 |                 | 0.00          | 0.00          |              |             |
| (i-ii)     |   |              |                 |                 |               |               |              |             |
|            | <b>Sub-Total (B)(1)</b>   | <b>11</b>    | <b>912090</b>   | <b>907890</b>   | <b>3.25</b>   | <b>3.25</b>   |              |             |
| <b>B 2</b> | <b>Non-institutions</b>   |              |                 |                 |               |               |              |             |
| (a)        | Bodies Corporate  | 318          | 1274792         | 1250092         | 4.55          | 4.55          | 13941        |             |
| (b)        | Individuals   |              |                 |                 | 0.00          | 0.00          |              |             |
| I          | Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh   | 17589        | 4036470         | 2466132         | 14.41         | 14.41         |              |             |
| II         | ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.      | 57           | 1617498         | 1584298         | 5.77          | 5.77          | 11057        |             |
| (c)        | Qualified Foreign Investor  |              |                 |                 | 0.00          | 0.00          |              |             |
| (d)        | Any Other (specify)   |              |                 |                 | 0.00          | 0.00          |              |             |
| (d-i)      | NRI   | 60           | 295863          | 295863          | 1.06          | 1.06          |              |             |
| (d-ii)     | Trust   | 0            | 0               | 0               | 0.00          | 0.00          |              |             |
|            | <b>Sub-Total (B)(2)</b>   | <b>18024</b> | <b>7224623</b>  | <b>5596385</b>  | <b>25.79</b>  | <b>25.79</b>  | <b>24998</b> |             |
| <b>(B)</b> | <b>Total Public Shareholding (B)= (B)(1)+(B)(2)</b>                                     | <b>18035</b> | <b>8136713</b>  | <b>6504275</b>  | <b>29.04</b>  | <b>29.04</b>  |              |             |
|            | <b>TOTAL (A)+(B)</b>  | <b>18037</b> | <b>28019300</b> | <b>26386862</b> | <b>100.00</b> | <b>100.00</b> | <b>24998</b> |             |
| <b>(C)</b> | <b>Shares held by Custodians and against which Depository Receipts have been issued</b> |              |                 |                 |               |               |              |             |
| 1          | Promoter and Promoter Group   |              |                 |                 |               | 0.00          |              | 0.00        |
| 2          | Public  |              |                 |                 |               | 0.00          |              |             |
|            | <b>Sub-Total (C)</b>  | <b>0</b>     | <b>0</b>        | <b>0</b>        |               | <b>0</b>      | <b>0</b>     |             |
|            | <b>GRAND TOTAL (A)+(B)+(C)</b>  | <b>18037</b> | <b>28019300</b> | <b>26386862</b> |               | <b>100.00</b> | <b>24998</b> | <b>0.09</b> |

For PHOENIX LAMPS LIMITED

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| Sr.No | Notes |
|-------|-------|
| 1     | Nil   |
| 2     | Nil   |
| 3     | Nil   |
| 4     | Nil   |
| 5     | Nil   |
| 6     | Nil   |
| 7     | Nil   |
| 8     | Nil   |
| 9     | Nil   |

**For PHOENIX LAMPS LIMITED**

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**COMPANY SECRETARY**

**(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"**

| Sr. | Name of the     | Details of Shares held |                                       | Encumbered shares (*) |                    |  | Details of warrants     |   | Details of convertible securities     |   | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|-----|-----------------|------------------------|---------------------------------------|-----------------------|--------------------|--|-------------------------|---|---------------------------------------|---|--|
|     |                 | Number of shares held  | As a % of grand total (A) + (B) + (C) | No.                   | As a percentage    | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |  |
| No. | shareholder     | (iii)                  | (iv)                                  | (v)                   | (vi)=(v)/(iii)*100 | (vii)  | (viii)                  | (ix)  | (x)                                   | (xi)  | (xii)  |
| 1   | Argon India Lim | 15906070               | 56.77                                 |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 2   | Argon South Asi | 3976517                | 14.19                                 |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 3   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 4   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 5   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 6   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 7   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 8   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 9   |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 10  |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
| 11  |                 |                        | 0.00                                  |                       | 0.00               | 0.00   |                         | 0   |                                       | 0   |  |
|     | <b>TOTAL</b>    | <b>19882587</b>        | <b>70.96</b>                          | <b>0</b>              | <b>0.00</b>        | <b>0.00</b>  | <b>0</b>                | <b>0</b>  | <b>0</b>                              | <b>0</b>  | <b>0</b>   |

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

**For PHOENIX LAMPS LIMITED**

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**COMPANY SECRETARY**



**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company**

| Sr. No.      | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) |
|--------------|---|------------------|--|---------------------|---|---------------------------------------|--|--|
|              |   |                  |  | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1            | Nil   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 2            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 3            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 4            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 5            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 6            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 7            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 8            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 9            |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
| 10           |   |                  | 0.00   |                     | 0   |                                       | 0  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
| <b>TOTAL</b> |   | <b>0</b>         | <b>0.00</b>  | <b>0</b>            | <b>0</b>  | <b>0</b>                              | <b>0</b>   | <b>0</b>   |

For PHOENIX LAMPS LIMITED

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COMPANY SECRETARY

(I)(d) Statement showing details of locked-in shares

| Sr. No.      | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-------------------------|----------------------------|--|
| 1            | Nil                     |                            | 0.00   |
| 2            |                         |                            | 0.00   |
| 3            |                         |                            | 0.00   |
| 4            |                         |                            | 0.00   |
| 5            |                         |                            | 0.00   |
| 6            |                         |                            | 0.00   |
| 7            |                         |                            | 0.00   |
| 8            |                         |                            | 0.00   |
| 9            |                         |                            | 0.00   |
|              |                         |                            |  |
|              |                         |                            |  |
| <b>TOTAL</b> |                         | <b>0</b>                   | <b>0.00</b>  |

For PHOENIX LAMPS LIMITED

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(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No.      | Type of outstanding DR<br>(ADRs, GDRs, SDRs, etc.) | Number of outstanding<br>DRs | Number of shares<br>underlying outstanding DRs | Shares underlying<br>outstanding DRs as a<br>percentage of total<br>number of shares<br>{i.e., Grand Total<br>(A)+(B)+(C)<br>indicated in<br>Statement at para<br>(I)(a) above} |
|--------------|--|------------------------------|--|---|
| 1            | Nil  |                              |  | 0.00  |
| 2            |  |                              |  | 0.00  |
| 3            |  |                              |  | 0.00  |
| 4            |  |                              |  | 0.00  |
| 5            |  |                              |  | 0.00  |
| 6            |  |                              |  | 0.00  |
| 7            |  |                              |  | 0.00  |
| 8            |  |                              |  | 0.00  |
| 9            |  |                              |  | 0.00  |
|              |  |                              |  |   |
|              |  |                              |  |   |
| <b>TOTAL</b> |  | <b>0</b>                     | <b>0</b>                                       | <b>0.00</b>   |

For PHOENIX LAMPS LIMITED

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COMPANY SECRETARY

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No.      | Name of the DR Holder | Type of outstanding DR<br>(ADRs, GDRs, SDRs,<br>etc.) | Number of shares<br>underlying outstanding<br>DRs | Shares<br>underlying<br>outstanding<br>DRs as a<br>percentage of<br>total number<br>of shares (i.e.,<br>Grand Total<br>(A)+(B)+(C)<br>indicated in<br>Statement at<br>para (I)(a)<br>above} |
|--------------|-----------------------|---|---|---|
| 1            | Nil                   |   |   | 0.00  |
| 2            |                       |   |   | 0.00  |
| 3            |                       |   |   | 0.00  |
| 4            |                       |   |   | 0.00  |
| 5            |                       |   |   | 0.00  |
| 6            |                       |   |   | 0.00  |
| 7            |                       |   |   | 0.00  |
| 8            |                       |   |   | 0.00  |
| 9            |                       |   |   | 0.00  |
| <b>TOTAL</b> |                       |   | <b>0</b>  | <b>0.00</b>   |